

Table 50

Regular & Specialized SBICs Transferred to Liquidation  
Fiscal Years 1967 to 2002

Fiscal Year	<u>Regular SBICs</u>		<u>Specialized SBICs</u>		<u>All Licensees</u>	
	<u>Number</u>	<u>Leverage at Transfer</u>	<u>Number</u>	<u>Leverage at Transfer</u>	<u>Number</u>	<u>Leverage at Transfer .</u>
1967	60	22,873,884	0	0	60	\$22,873,884
1968	73	23,302,310	0	0	73	\$23,302,310
1969	11	3,788,674	0	0	11	\$3,788,674
1970	6	1,109,074	0	0	6	\$1,109,074
1971	58	16,425,787	0	0	58	\$16,425,787
1972	5	1,692,200	1	600,000	6	\$2,292,200
1973	3	10,450,436	0	0	3	\$10,450,436
1974	7	4,300,117	1	300,000	8	\$4,600,117
1975	9	19,346,224	0	0	9	\$19,346,224
1976	6	10,436,742	2	600,000	8	\$11,036,742
1977	10	17,191,988	0	0	10	\$17,191,988
1978	9	31,567,920	5	4,145,000	14	\$35,712,920
1979	2	2,982,183	7	8,226,000	9	\$11,208,183
1980	10	10,645,716	10	8,132,657	20	\$18,778,373
1981	6	29,281,658	9	5,371,950	15	\$34,653,608
1982	14	44,999,260	3	4,280,000	17	\$49,279,260
1983	10	39,872,317	4	6,850,000	14	\$46,722,317
1984	8	37,009,124	6	13,470,000	14	\$50,479,124
1985	3	9,210,000	4	7,670,000	7	\$16,880,000
1986	18	46,440,681	8	11,750,000	26	\$58,190,681
1987	26	99,041,706	12	25,275,986	38	\$124,317,692
1988	18	73,754,500	8	11,450,000	26	\$85,204,500
1989	12	39,420,000	2	5,030,000	14	\$44,450,000
1990	15	86,810,000	6	18,860,090	21	\$105,670,090
1991	22	121,902,399	9	15,575,000	31	\$137,477,399
1992	13	51,750,192	12	31,394,265	25	\$83,144,457
1993	11	53,174,604	13	29,350,000	24	\$82,524,604
1994	10	38,450,000	7	21,745,676	17	\$60,195,676
1995	4	8,500,000	3	7,343,120	7	\$15,843,120
1996	0	0	2	2,500,000	2	\$2,500,000
1997	4	18,390,000	1	1,679,000	5	\$20,069,000
1998	1	1,000,000	1	6,000,000	2	\$7,000,000
1999	1	3,270,000	1	2,070,000	2	\$5,340,000
2000	3	11,644,676	2	5,530,000	5	\$17,174,676
2001	6	91,526,373	1	2,000,000	7	\$93,526,373
2002	10	247,976,012	2	10,233,000	12	258,209,012
Total	484	\$1,329,536,757	142	\$267,431,744	626	\$1,596,968,501

FY 2001 Leverage at Transfer includes \$10,396,373 in Prioritized Payments for one (1) Participating Securities SBIC.  
FY 2002 Leverage at Transfer includes \$44,252,890 in Prioritized Payments for eight (8) Participating Securities SBICs. These SBICs have a contingent obligation to repay these payments based on profits.

On September 30, 2002, the Office of Liquidation had 77 open cases with a current balance of SBA leverage outstanding of \$424.5 million. There were 42 open Regular SBIC cases with \$380.9 million of leverage outstanding (including \$54.6 million in prioritized payments) and 35 open Specialized SBIC cases with \$43.6 million of leverage outstanding.